

Algorithms and Data Structures (Elementary Data Structures)

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3/18/03

Spring 2002, Lecture 3

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Summary of lecture 3

- Abstract data types vs. data types
- Stacks, queues
array implementation,
list implementation,
array simulating lists
rooted trees

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Data Structures

By "Data Structure" we indicate an organization of the "state" of the memory (Tape in a TM, Registers in a RAM) that speeds up the execution of algorithms.

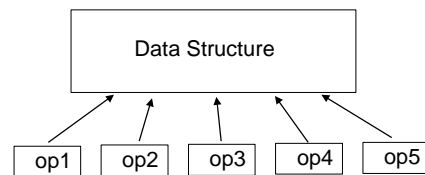
Example: Storing an increasing sorted sequence of n distinct numbers in *consecutive* registers $R[x], R[x+1], \dots, R[x+n]$, gives us structural information:

- the median element is in register $R[x + \lfloor n/2 \rfloor]$.
- alle elements in $R[x], \dots, R[x + \lfloor n/2 \rfloor - 1]$ are smaller than the median.

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Abstract Data Structure



(a) A repertoire of operations

(b) A portion of the memory accessed only by the legal operations

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Abstract Data Structures--Data Structures

- (a) A sequence of operations is performed on the data at different moments during the execution of a *master* algorithm.
- (b) The outcome of an operation depends on the state of the Data Structure and on the input parameters of the op.
- (c) The state of the Data Structure does not change between operations.
- (d) Only Operations can change the state of the data structure.
- (e) In an Abstract Data Structure we specify only the operations (what) not the implementation of the operations (how).
- (f) In a Data Structure we specify also how the memory is organized and how the operations are performed.

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The ADS Stack

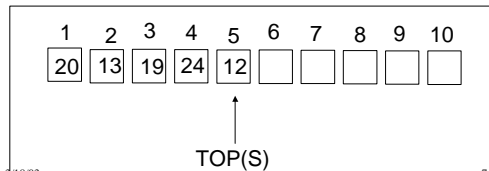
- Stacks are ADS in which we have 2 main operations available:
 - 1) INSERT an element,
 - 2) GET-and-Delete the element that has been in the stack for the *shortest time*.
- This "policy" is called LIFO (last-in-first-out).
- Used in Convex Hull algorithm (in Geometry), Run-time execution support (O.S.).
- Insert is usually called PUSH, get-and-delete is usually called POP.

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Implementation of Stacks using Arrays

- We use an array $A[1..N]$ to hold a Stack S of at most N elements.
- The variable $TOP(S)$ hold the index of the last element inserted. The elements of S are in the positions $A[1...TOP(S)]$.



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Operations on Stacks.

(Empty?, PUSH, POP)

- EMPTY?(S: stack): boolean
IF $TOP(S)=0$ THEN TRUE
ELSE FALSE
END IF
- PUSH(S: stack,x: element)
 $TOP(S) := TOP(S)+1$
IF $TOP(S) > N$ THEN Error
 $S[TOP(S)] := x$
- POP(S: stack): element
IF EMPTY?(S) THEN Error
ELSE $TOP(S) := TOP(S)-1$
RETURN $S[TOP(S)+1]$
END IF.

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The ADS Queue

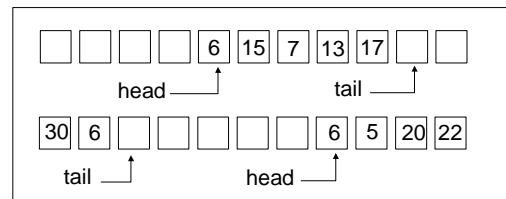
- Queues are data structures holding data with two operations:
1) Insert an element,
2) Get-and-delete the element that has been in the queue for the *longest time*.
- This will be used for visiting graphs in (Breadth First Search). It is used in queuing systems (client/server).
- The insert operation is usually called ENQUEUE, the get-and-delete is usually called DEQUEUE.
- The "policy" of Queues is called FIFO (First-in-first-out).

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Implementation of Queues using Arrays.

- A Queue is resident in an array $A[1..N]$. The head of the queue Q is denoted by $HEAD(Q)$. The tail of the queue Q is denoted by $TAIL(Q)$.
- The elements of the queue Q are in positions $HEAD(Q), HEAD(Q)+1, \dots, TAIL(Q)-1$.



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Operations on Queues

(enqueue and dequeue)

- When $HEAD(Q)=TAIL(Q)$, then Q is empty.
When $HEAD(Q)=TAIL(Q)+1$, then Q is full.
- ENQUEUE(Q: queue,x: element) % without error checks
 $Q[TAIL(Q)] := x$
IF $TAIL(Q)=N$
THEN $TAIL(Q) := 1$
ELSE $TAIL(Q) := TAIL(Q)+1$
ENDIF
- DEQUEUE(Q:queue):element % without error checks
 $x := Q[HEAD(Q)]$
IF $HEAD(Q) = N$
THEN $HEAD(Q) := 1$
ELSE $HEAD(Q) := HEAD(Q) + 1$
ENDIF
RETURN x

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Exercises

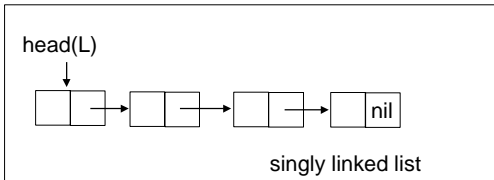
- Put error checks in the procedures ENQUEUE and DEQUEUE. (That is, detect underflow and overflow situations). (CLR 11.1-4).
- HW1.1 : (CLR 11.1-5) : write operations to insert and delete at both ends of a queue (also called a deque).

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Linked lists implementation.

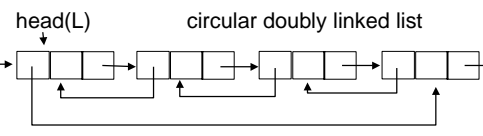
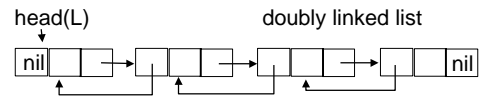
- We saw the ADS Stack and Queue plus their implementation based on arrays.
- We shall see briefly alternative implementations of the same ADS using linked lists.
- Linked lists are based on pointers.



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Several types of lists



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Some operations on lists

- Type element = record (key: number, next: ^element, prev: ^element)
- head(L): ^element
- ```
List-search(L: list ,k:number)
x := head(L)
while x ≠ NIL and key[x] <> k DO
 x := next[x]
end while
return x
```
- List-search tests whether k is in the list, it returns NIL if it is not there or the pointer to the element containing k.
- If L has n elements what is the worst case time function for List-search? The best? the average?

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## Other operations on doubly linked lists

- Insert an element x at the beginning of L
- ```
List-insert(L: list , x:^element(k,NIL,NIL))
next[x] := head[L]

IF head(L) ≠ NIL
  THEN prev[head[L]] := x
END IF
head[L] := x
prev[x] := NIL
```

- Note that this operation only changes the state of the list, there is no output returned.
- What is the time cost of one List-insert?

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Deletion from doubly-linked lists

- ```
List-Delete(L: list ,x:^element)
% x points to an element in L
IF prev(x) ≠ NIL
 THEN next(prev(x)) := next(x)
 ELSE head(L) := next(x)
END IF
IF next(x) ≠ NIL
 THEN prev(next(x)) := prev(x)
```
- What is the time to delete a element from L, given a pointer to it?
- Exercise: use Insert-list and delete-list to implement PUSH and POP on a stack.

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## Tips and exercises.

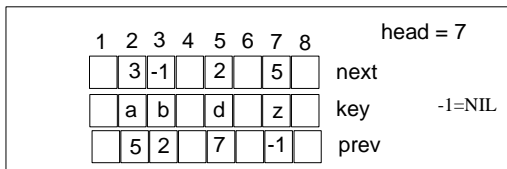
- When writing code on pointer structures it is always a good idea to have a little drawing on a side.
- Sometimes it is useful to place a dummy element (sentinel) at the beginning or end of lists to simplify testing when we reach the beginning or the end of the list. (CLR page 207).
- HW1.2 (CLR 11.2-5).  
Write code to implement the disjoint-set-union operation using lists such that it takes time  $O(1)$ .

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## Lists and array

- We saw that the ADS Stack can be implemented using arrays or list. Similarly for the ADS Queue.
- Now we take it a step further using arrays to simulate list.
- This is useful when (1) The language does not provide pointers or (2) when you want to control tightly the use of storage.

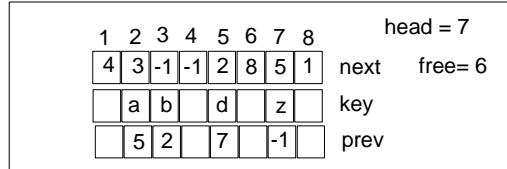


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## Allocation and Garbage collection

- In Pascal, in C to create objects we use the primitives new, alloc, malloc. To reclaim unused memory there are garbage collectors.
- We have to simulate them, by keeping all the free slots in a separate list (headed by FREE)
- The free list (singly connected) works as a Stack



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## Exercises

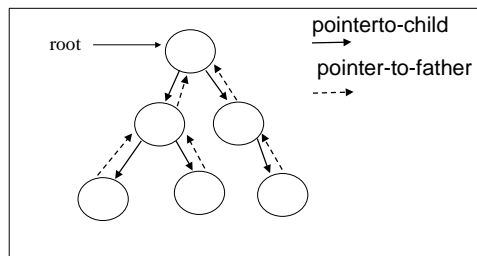
- Exercise: Write a code for the operations free(x) that takes an (unused) index x and put it in the free-list.
- Exercise: Write a code for Allocate-object() which returns a an index of a free location.

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## Trees

Using pointers we can create other structure besides lists: trees, graphs, etc.  
Here we see the of a rooted binary tree.



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## Example in Pascal

- ```

TYPE node =
RECORD
  data = Data-type
  left-child = ^node
  right-child = ^node
  parent = ^node
END RECORD

```
- Then in the program we can build a tree dynamically:

```

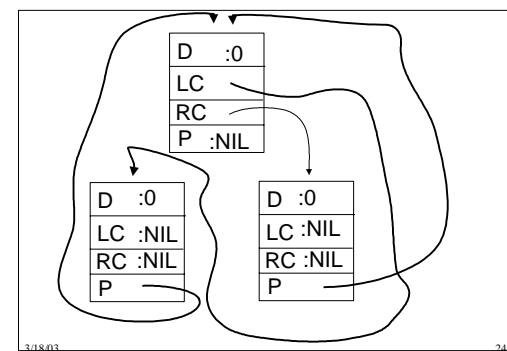
T := new(node)
T1 := new(node)
T2 := new(node)
T1^.parent := T
T2^.parent := T
T1^.left-child := T1
T1^.right-child := T2

```

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Result of the execution of the code.

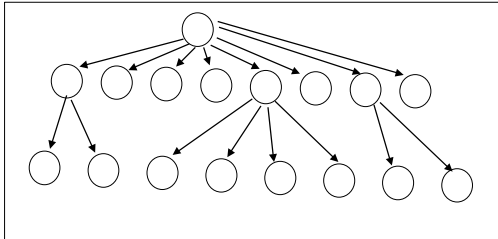


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n-ary trees

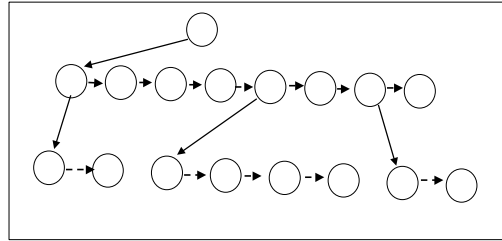
- Sometimes we deal with where nodes can have many children, and sometimes a variable number of them.
- In this case we can use the left-child/right-sibling representation.



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- In the left-child/right-sibling representation we use always only 2 pointers per node.



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Conclusions

- Memory structure + operations form a Data Structure (DS).
- If we are interested only in what the operations do and not how it is done we have an Abstract Data Structure (ADS) also called Abstract Data Type (ADT)
- We can have different DS for the same ADS, for example one based on an array-implementation, one on a list implementation.
- For the same ADS we can analyze the time of the several corresponding DS to determine the best for the task at hand.

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